UL STANDARDS

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Agenda

- 1. UL Standards Overview
- 2. Standards Technical Panel (STP) and Stakeholder Participation
- 3. UL Standards Development Process
- 4. CSDS Overview
- 5. Standards Resources
- 6. UL Standards Activity Key Alternative Energy Standards
- 7. Questions



UL Standards Overview



UL COMPRISES TWO DISTINCT ORGANIZATIONS WITH ONE COMMON MISSION

UL Not For Profit (NFP)

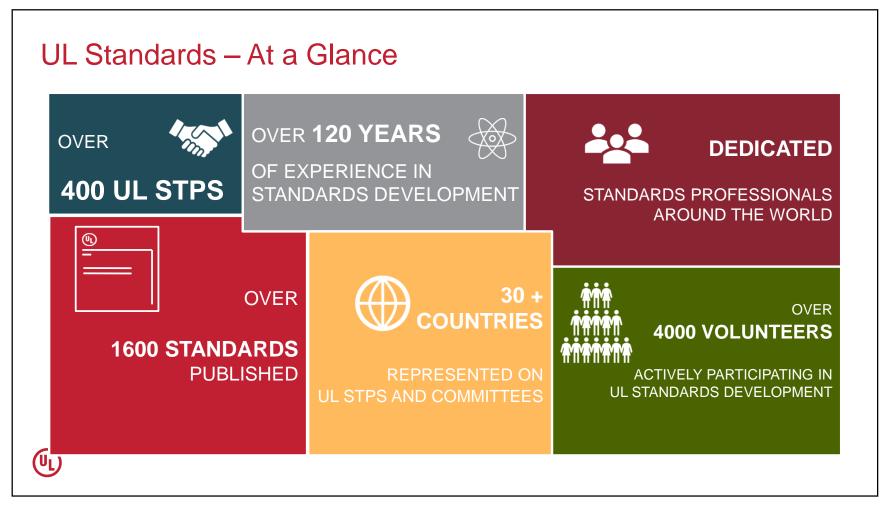


Standards

Research Education Outreach **UL For Profit**



Testing, Inspection & Certification
Software as a Service
Advisory Services



Consensus Standards Development Process

 UL's standards development process is accredited by both ANSI and SCC



 UL standards are developed through Standards Technical Panels (STPs)



UL Procedures for accreditation published as *Regulations Governing ANSI/UL STPs*

http://ulstandards.ul.com/develop-standards/stps/stpregulations/

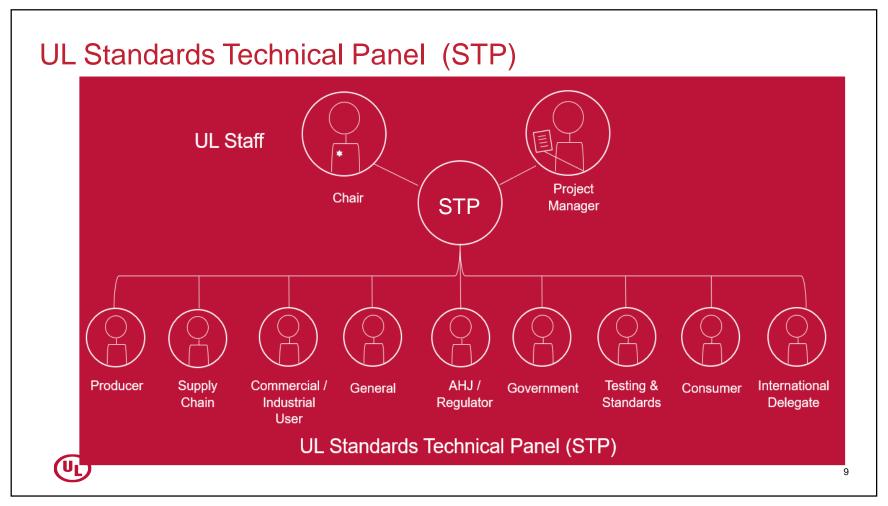


6

Standards Technical Panel (STP) and Stakeholder Participation







Who is on a STP?

- **Producer:** Manufacturer of products, or agents who represent a producer
- <u>Supply Chain:</u> Component producer for end-product standard; end-product producer for component standard; installer; distributor; retailer
- Commercial / Industrial User: Uses product in commercial or industrial setting
- **General:** Academia; consultants; scientists; attorneys; experts; trade associations
- <u>AHJ:</u> Authorities having jurisdiction; city inspectors; those involved in regulation or enforcement of codes/standards at state/local level
- Government: Federal Agencies (Ex: CPSC, FDA, EPA)
- <u>Testing and Standards Organizations</u>: Organizations that test or certify products
- **Consumer:** Consumer organizations; professional consumers
- International Delegate: Individual representing a National Standards Body outside U.S.



What is Balance?

- The goal is for no Interest Group to be over 1/3 (33%)
- Membership may be limited due to balance constraints
- Not all Interest Groups are required to have representation



Expectations of STP Members

- ✓ <u>Vote</u> and comment on proposals
- ✓ Participate
- Help develop proposals to the standard
- ✓ Provide input for comment resolution
- ✓ Participate in Task / Working Groups
- ✓ Attend STP meetings
- ✓ Comply with STP Member Code of Ethics



Stakeholder Participation

- Participation is open to all interested parties
- > No membership dues
- 24/7 online access to UL Standards proposals and meeting information via CSDS
- > Ways to participate
 - ✓ Submit proposal to revise UL Standard
 - ✓ Review and comment on proposals
 - ✓ Attend STP meeting
 - ✓ Participate in Task Groups
- Comply with STP Member Code of Ethics



Working Groups / Task Groups

- ✓ Play an important role in the UL Standards Development Process
- ✓ STP Chair makes all appointments, including the working group chair
- ✓ Formed to address a specific topic, develop and / or revise proposals
- ✓ Disbanded after the task is complete
- ✓ No balance requirements for Working Groups
- ✓ STP membership is not required for Working Group participation.
- ✓ Meetings are normally held via web/conference call
- ✓ Final voting is done by the STP, which is the consensus body



Role of STP Chair and Project Manager

STP Chair

- Responsible for appointing new members
- Schedules and presides over STP meetings
- ✓ Provides leadership to the STP
- ✓ Review all submitted proposals
- ✓ Serves as STP process expert
- ✓ Non-voting member

STP Project Manager

- ✓ Assists STP with workflow (proposal to publication)
- ✓ Coordinates activities of STP (proposals, meeting reports and ballots)
- ✓ Maintains STP membership rosters
- ✓ Works closely with the STP chair
- ✓ Serves as STP process expert
- ✓ Non-voting member



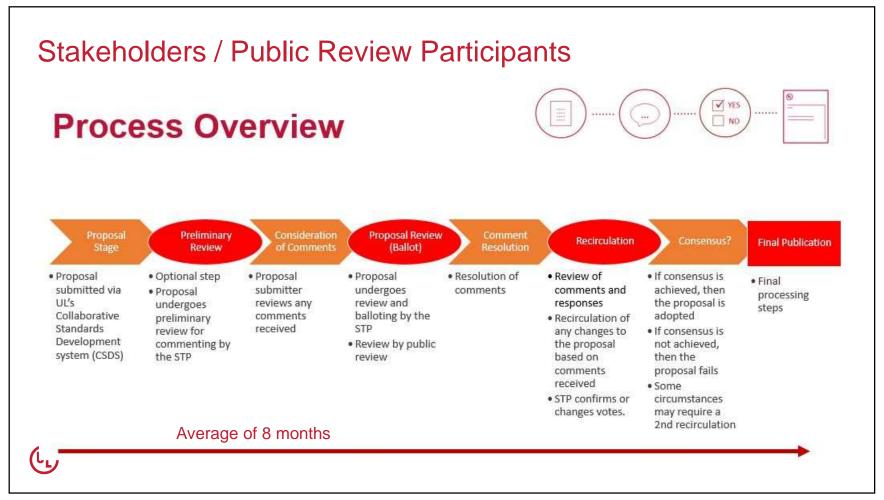
UL Standards Development Process



UL Standards Process

- ✓ The UL Standards development process is open and anyone can participate.
- Encourage participation by all stakeholders
- Anyone can submit proposals to a UL Standard at any time
- Anyone can comment on proposals, participate in working groups, attend STP meetings
- ✓ STP is the consensus (voting) body
- ✓ Stakeholders are not STP members and cannot vote.
- ✓ There is no fee to participate as a STP member or Stakeholder.





What is Consensus - ANSI

> 50% Returned

Majority ballots returned (Yes, No, or Abstain)

Yes

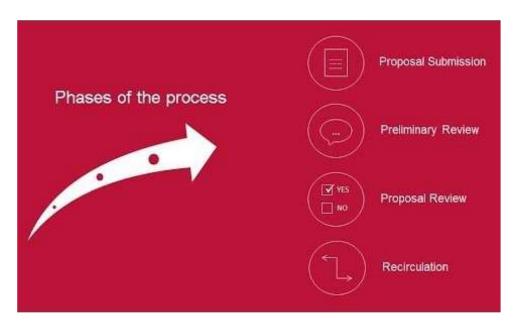
2/3 Approval

Yes votes by at least 2/3 of those that voted (excluding abstentions, negatives without comment)



STANDARDS DEVELOPMENT PROCESS (VIDEO)

Link to YouTube video on CSDS home page: https://csds.ul.com/Home/Default.aspx



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20

STP Meetings

- Held on as-needed basis
- Meetings are open
- Guests may attend and participate
- Attendance at meetings is not a requirement for STP membership
- No Voting at meetings (balloting done on-line)
- Intended for discussion and consensus building
- Discussion limited to standard issues

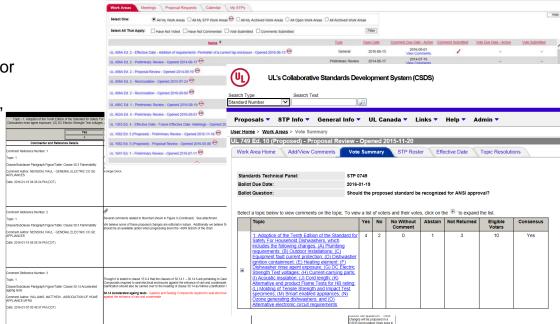


CSDS Overview



Collaborative Standards Development System (CSDS)

- CSDS is UL's web-based tool for participation in UL's standards development process
- ❖ Available 24/7
- CSDS provides online access for STP meetings, submitting proposals, reviewing proposals, and balloting of UL Standards
- CSDS is open to anyone interested
- CSDS can be accessed at: https://csds.ul.com





Apply to be a STP Member Proposals ▼ STP Info ▼ General Info ▼ UL Canada ▼ Links ▼ Help ▼ UL's Collaborative Standards Development System (CSDS) provides online access for review and submitting information for UL's Standards development process. General access is available for information on STP meetings, submitting proposals, and proposals available. If you already have a CSDS user account use the Username and Password information provided for you in the "Welcome" e-mail. It is strongly recommended that you change your password after accessing the site. New Users: If you would like to submit a Proposal Request or request to attend a meeting, click Create User Account to create a user account profile. Login The Username is typically your email address. The password is case sensitive and must be 8-12 characters long. It must contain at least one number, one uppercase letter, and one lowercase letter. News Update: Using Standards to mitigate the spread of COVID-19 Username Password Forgot Your Password? LOGIN NOTE: Please make sure that all pop-up blocker and Spyware software is disabled first. New Feature Available Standards Technical Panels and Committees are important parts of the process by which its Standards are developed and maintained. Apply to be a member today! Follow/UnFollow Standard. Click here to find out more. 24

CSDS – New Feature to Follow/Unfollow a Standard



CSDS - New Feature to Follow/Unfollow a UL Standard

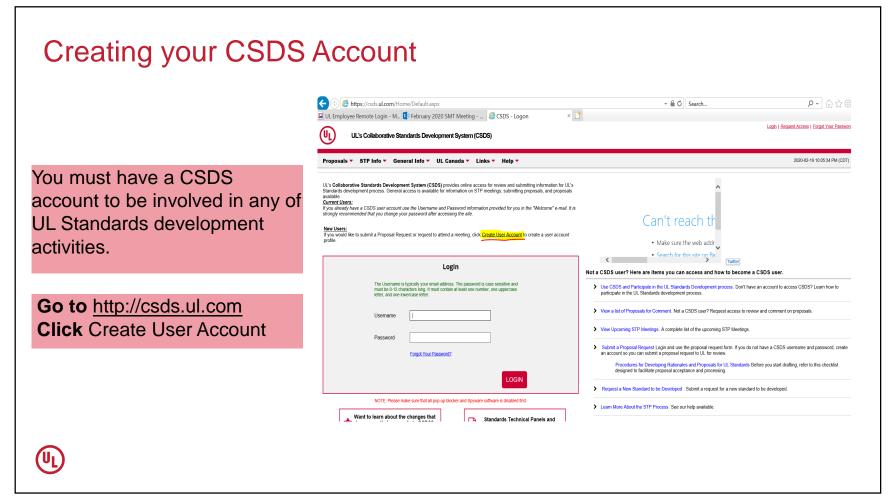
Follow UL Standards of interest to automatically be added to open and future work areas for that Standard. You'll have the ability to view documents in the work area, submit comments and see comments submitted, view the vote summary (when available), receive email notifications on work area items. You don't have to be an STP member to do this!

Manage the Standards you're following in your My Standards page. Changing the Receive Email from Yes to No will remove you from receiving any email notifications for that Standard, but you will continue to have access to the work areas.

To stop following a standard, select the Unfollow button. You will no longer have access to any work areas for that Standard or receive further email notifications for that Standard.

If you currently participate in a Committee or Standards Technical Panel, you do not need to follow Standards covered by your membership. Follow/Unfollow selections will not override your Committee or Standards Technical Panel access or email notifications.

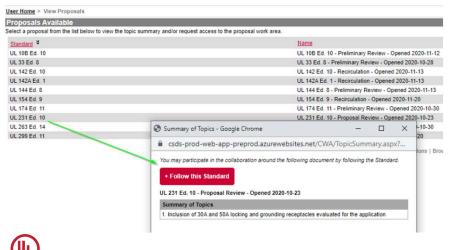




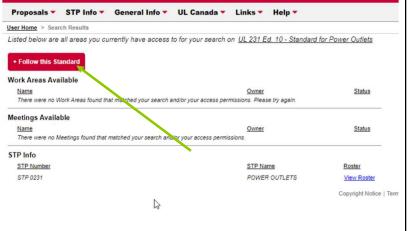
Follow/Unfollow a Standard

The Follow/Unfollow a Standard button is available from:

1) The View Proposals Available, once a Standard is selected

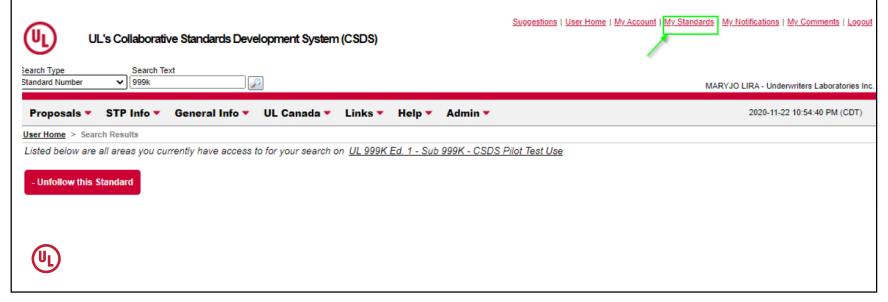


2) Search results for a Standard/Edition number



My Standards

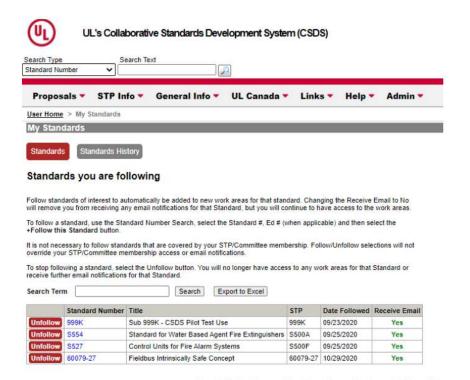
Once you are logged into CSDS, the My Standards page will provide a list of the Standards you are currently following. A link is available in the upper right corner.



My Standards page

Your My Standards page has the following features:

- Provides a list of Standards you are following
- Change the Receive Email from Yes to No, by clicking on "Yes". This will remove you from receiving emails for just that Standard related to CSDS.
- Select the Unfollow button to no longer follow that Standard
- See a history of Standards you've followed
- Search your list of Standards that you're following
- Display Standards you're following for both UL and ULC CSDS







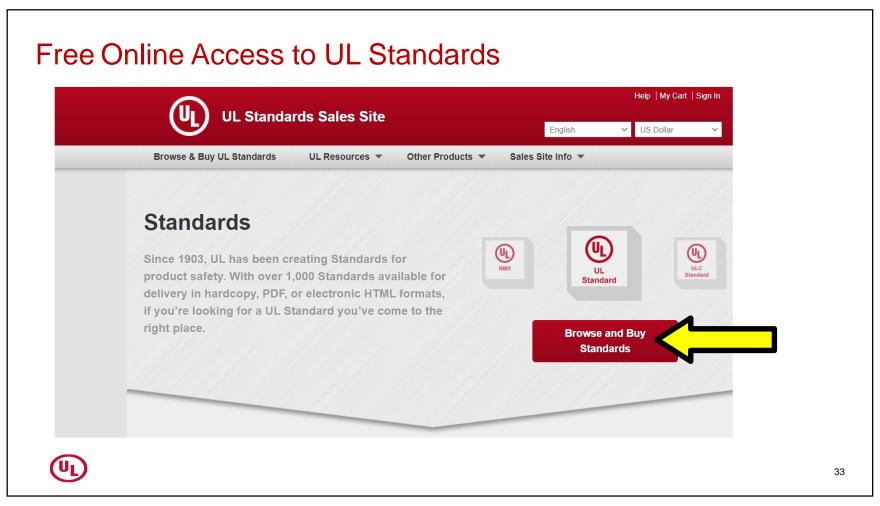
Standards Resources



Free Online Access to UL Standards

- UL announced open-access online views of UL Safety Standards in Feb 2019
- Online access free of charge, but Users must register
- View current editions and revisions of UL and ULC Standards for Safety
- Limited to page by page Digital View only
- No ability to download or print the Standard
- Due to copyright restrictions, cannot view IEC/ISO based standards adopted by UL or ULC
- No limit to amount of individuals from an organization
- Visit shopulstandards.com to browse the Standards and access them





Helpful Links and Resources

More information regarding the STP Process https://ulstandards.ul.com/develop-standards/stps/

The Approved Regulations Governing ANSI / UL Standards Technical Panels https://www.ul.com/global/documents/corporate/standards/ApprovedRevisionstoULsAccreditedProcedures.p df

General Standards Website:

http://ulstandards.ul.com/

Standards Development Process YouTube video: https://www.youtube.com/watch?v=PU3apx7gLVU#action=share

Join a Standards Technical Panel (STP) with the online membership application: https://csds.ul.com/STPInfo/ApplicationHomePage.aspx

Get Update Alerts:

https://www.shopulstandards.com/



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 - ✓ Revisions
 - ✓ Proposals
 - ✓ Meeting Reports
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UL Standards Activity – Key Alternative Energy Standards



STP 1741 (Inverters, Converters, Controllers and Interconnection System Equipment for use With Distributed Energy Resources) covers:

- UL 1741, Inverters, Converters, Controllers and Interconnection System Equipment for use With Distributed Energy Resources.
- UL 62109-1, Power Converters for Use in Photovoltaic Power Systems Part 1: General Requirements (no current standards activity)
- UL 62109-2 Draft, Power Converters for Use in Photovoltaic Power Systems -Part 2: Particular Requirements for Inverters (not yet published)



STP 1741 - Standards Update

Current Standards activity for UL 1741:

- ➤ Held STP meeting in Nov. 2020
- Multiple task groups have been formed to address various industry needs for specific standards proposals.
- ➤ 8 proposals went out for ballot on 10/28/20; next step is recirculation

Current harmonization activity for UL 62109-2:

➤ An International Harmonization Committee (IHC) has been working to develop the US National Differences for inclusion in the Proposed First Edition of the UL IEC-Based Standard UL 62109-2.



STP 2703 - Standards Update

STP 2703 (Mounting Systems, Mounting Devices, Clamping/Retention Devices, and Ground Lugs for Use with Flat-Plate Photovoltaic Modules and Panels) covers:

 UL 2703, Mounting Systems, Mounting Devices, Clamping/Retention Devices, and Ground Lugs for Use with Flat-Plate Photovoltaic Modules and Panels

Current Standards activity for UL 2703:

- > Ballot dated 2021-01-08 w/ due date of 2021-02-08
- > Ballot dated 2021-01-22 w/ due date of 2021-02-22
- > A corrosion task group has been formed to develop proposals for the standard.



STP 3001 - Distributed Energy Generation and Storage Systems

STP 3001 covers:

- UL 3001, Draft Standard for Safety for Distributed Energy Generation and Storage Systems (not yet published)
- UL 3005, Draft Standard for Safety for Distributed Energy Resource Management Systems (not yet published)
- UL 3010, Draft Standard for Safety for Single Site Energy Systems (not yet published)
 - ❖ Note: UL 3010 will cover smaller (residential and light commercial) systems; UL 3001 will cover the larger systems (corporate & university campus, neighborhood, military base, etc.); and UL 3005 will cover the controllers (management system).



STP 3703 – Solar Trackers

STP 3703 covers:

• UL 3703, Standard for Safety for Solar Trackers (no current standards activity)



STP 3741 – Photovoltaic Hazard Control

STP 3741 covers:

- ANSI/CAN/UL 3741, Standard for Safety for Photovoltaic Hazard Control (no current standards activity)
 - ➤ The First Edition Standard was published on December 8, 2020 as the American and Canadian National Standard for Photovoltaic Hazard Control



Wind STPs

STP 4143 (Life Time Extension of Wind Turbines) covers:

• UL 4143, Life Time Extension of Wind Turbines (no current standards activity)

STP 6141 (Large Wind Turbine Generating Systems) covers:

- UL 6141, Wind Turbines Permitting Entry of Personnel
 - Ballot dated 2021-01-08 w/ due date of 2021-02-08

STP 6142 (Small Wind Turbine Generating Systems) covers:

• UL 6142, Small Wind Turbine Systems (no current standards activity)



STP 6703 (Connectors for Use in Photovoltaic Systems) covers:

- UL 6703, Connectors for Use in Photovoltaic Systems (no current standards activity)
- UL 9703, Outline of Investigation for Distributed Generation Wiring Harnesses (no current standards activity)
- UL 62852 Draft, Connectors For DC- Application in Photovoltaic Systems Safety Requirements and Tests (not yet published)



STP 6703 - Standards Update

Current harmonization activity for UL 62852:

➤ UL is working to develop the US National Differences for inclusion in the Proposed First Edition of the UL IEC-Based Standard UL 62852



STP 7103 (Building-Integrated Photovoltaic Roof Coverings) covers:

- UL 7103, Outline of Investigation for Building-Integrated Photovoltaic Roof Coverings
 - ➤ The Proposed First Edition Standard has been sent out to the STP for Preliminary Review and Comment. UL is using the input to update the draft standard before balloting, which is the next step.



STP 8703 (Concentrator Photovoltaic Modules and Assemblies) covers:

- UL 8703, Outline of Investigation for Concentrator Photovoltaic Modules and Assemblies (no current standards activity)
- UL 62108, Concentrator Photovoltaic (CPV) Modules and Assemblies Design Qualification and Type Approval (no current standards activity)



STP 9540 (Energy Storage Systems and Equipment) covers:

- UL 9540, Energy Storage Systems and Equipment (no current standards activity)
- UL 9540A, Test Method for Evaluating Thermal Runaway Fire Propagation in Battery Energy Storage Systems (no current standards activity)



STP 61730 (Photovoltaic Modules) covers:

- UL 1703, Flat-Plate Photovoltaic Modules and Panels (no current standards activity)
- UL 3730, Photovoltaic Junction Boxes (no current standards activity)
- UL 4730, Nameplate, Datasheet, and Sampling Requirements of Photovoltaic Modules (no current standards activity)
- UL 61215-1, Terrestrial photovoltaic (PV) modules design qualification and type approval Part 1: Test requirements (no current standards activity)
- UL 61215-1-1, Terrestrial photovoltaic (PV) modules design qualification and type approval Part 1-1: Special requirements for testing of crystalline silicon photovoltaic (PV) modules (no current standards activity)
- UL 61215-1-2, Terrestrial photovoltaic (PV) modules Design qualification and type approval Part 1-2: Special requirements for testing of thin-film Cadmium Telluride (CdTe) based photovoltaic (PV) modules (no current standards activity)
- UL 61215-1-3, Terrestrial photovoltaic (PV) modules Design qualification and type approval Part 1-3: Special requirements for testing of thin-film amorphous silicon based photovoltaic (PV) modules (no current standards activity)
- UL 61215-1-4, Terrestrial photovoltaic (PV) modules Design qualification and type approval Part 1-4: Special requirements for testing of thin-film CU (In,GA)(S,Se) Based photovoltaic (PV) modules (no current standards activity)

STP 61730 (cont.)

- UL 61215-2, Terrestrial photovoltaic (PV) modules design qualification and type approval Part 2: Test procedures (no current standards activity)
- UL 61724-1, Photovoltaic System Performance Part 1: Monitoring (no current standards activity)
- UL 61724-2, Photovoltaic System Performance Part 2: Capacity Evaluation Method (no current standards activity)
- UL 61724-3, Photovoltaic System Performance Part 3: Energy Evaluation Method (no current standards activity)
- UL 61730-1, Photovoltaic (PV) module safety qualification Part 1: Requirements for Construction
- UL 61730-2, Photovoltaic (PV) module safety qualification Part 2: Requirements for testing
- UL 62790 Draft, Junction Boxes for Photovoltaic Modules Safety Requirements and Tests (not yet published)
- UL 62915 Draft, Photovoltaic (PV) modules Type approval, design and safety qualification Retesting (not yet published)



STP 61730 - Standards Update

Current Standards activity for UL 61730-1 and 61730-2:

Multiple task groups have been formed to address various industry needs for specific standards proposals.

Current harmonization activity for UL 62790 and UL 62915:

- ➤ UL is working to develop the US National Differences for inclusion in the Proposed First Edition of the UL IEC-Based Standard UL 62790
- An International Harmonization Committee (IHC) has been working to develop the US National Differences for inclusion in the Proposed First Edition of the UL IEC-Based Standard UL 62915



STP 62446 (Photovoltaic Systems - Requirements for Testing, Documentation and Maintenance - Grid Connected Systems) covers:

- UL 62093, Balance-of-System Components for Photovoltaic Systems Design Qualification Natural Environments (no current standards activity)
- UL 62446-1, Draft Photovoltaic (PV) Systems Requirements for Testing, Documentation and Maintenance - Part 1: Grid Connected Systems - Documentation, Commissioning Tests and Inspection (not yet published)
- UL 62446-2, Draft Photovoltaic (PV) Systems Requirements for Testing, Documentation and Maintenance - Part 2: Grid Connected Systems – Maintenance of PV systems (not yet published)



STP 62446 - Standards Update

Current harmonization activity:

➤ UL is working to develop the US National Differences for inclusion in the Proposed First Editions of the UL IEC-Based Standards UL 62446-1 and UL 62446-2.



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